

ANNUAL REPORT
2011-2012



**ASSOCIATION OF PAROLING
AUTHORITIES INTERNATIONAL**

*THE RECOGNIZED VOICE FOR THE HIGHEST PROFESSIONAL STANDARDS OF
RESPONSIBLE PAROLE PRACTICES*

PRESIDENT'S REPORT:

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As I submit this, the last annual report of my term as President, I am struck by the vast changes this organization has undergone over the last few years. It is a true testament to the strength and dedication of the many men and women who make up this organization that not only have we survived the challenges most government entities and the professional organizations associated with them have faced over the last few years, but we have become stronger. It is this strength that will allow this organization to endure for many years as the recognized voice for the highest professional standards of responsible parole practices.

I am proud of the many achievements this organization has accomplished over my two terms. Here are just a few:

- ◆ A stable administrative structure led by a highly respected Secretariat
- ◆ A partnership with the Center for Effective Public Policy and others in the National Parole Resource Center
- ◆ An ongoing collaborative relationship with the Association of State Correctional Administrators (ASCA)
- ◆ Highly effective partnerships with other professional associations, APPA, ACA and our international colleagues
- ◆ Training and networking opportunities second to none
- ◆ A Business Plan to guide our future development and work.

None of these things could have been accomplished without the many hours of work and the dedication of those who have served with me on the APAI Executive Committee and other APAI committees.

I would like to thank Chair Tena Pate and the other Commissioners and staff of the Florida Parole Commission for agreeing to host our 2012 Annual Training Conference in Orlando, Florida. I also extend thanks to Director Olivia Craven and her members and staff at the Idaho Commission of Pardons and Parole for hosting our 2011 Chairs Meeting.

I would like to thank all of you who have attended our conferences and meetings and given me many hours of wise advice and counsel. I am still humbled by the lengths to which many of our participants will go in order to attend our conferences and trainings.

Please take a few minutes to review the information contained in this report to be reminded of all we have accomplished, just how important our work is and just how critical it is that we do it to the best of our abilities. It is also critical that we strive every day to be better at what we do. The public we serve deserves no less.

My best wishes and continued support to incoming President Jeff Peterson and the other members of the APAI Executive Committee as they continue to move this organization forward.

Sincerely,

Charles Traughber
President

CHIEF ADMINISTRATIVE OFFICER'S REPORT

Once again it has been an exciting and fulfilling year at APAI. Along with my colleague and partner at the Correctional Management Institute of Texas, Natalie Payne, we have maintained the membership roster and finances of APAI and brought forward to the membership important information and networking opportunities that we hope have helped APAI members go about their work in a more productive and effective fashion.

We have published a quarterly newsletter and maintained a website and blog that strives to keep our members informed of current information in our field. Working as a team with our APAI members and supporters we have brought you an Annual Training Conference and an Annual Chairs Meeting. We have also worked with our partners at the Center for Effective Public Policy to support the critical work of the National Parole Resource Center.

Natalie and I continue to supply staff support to the various committees that work under the guidance of the APAI Business Plan to achieve its goals and objectives. Natalie, in her new role as Technology Coordinator for CMIT, has continued to advance the technology capabilities of APAI. APAI Executive Committee meetings, regional membership meetings and many committees are now conducted using web based video meeting software. This is a major cost savings to the association.

The invaluable administrative support we receive from Natalie and the others at CMIT make it possible for us to provide a vast array of services to our members while at the same time keeping our membership fees low. Because of this partnership we can truly function like the major professional associations while still keeping that personal touch and low membership rates.

Sincerely



Keith Hardison
Chief Administrative Officer

NEWSLETTER

APAI continued to publish its quarterly newsletter. This year's newsletter addressed the following topics:

- ◆ ***2011 APAI Award Recipients***
- ◆ ***News from the International Section***
- ◆ ***Donald A. Andrews, A Pioneer and Visionary***
- ◆ ***New Legislation Affects Kentucky Parole***
- ◆ ***2011 APAI Chairs Meeting***
- ◆ ***2011 APAI Business Plan***
- ◆ ***APAI Crime Victim's Survey and a Model Protocol***
- ◆ ***Best Practices In Crime Victim Services***
- ◆ ***Canada's Regional Communication Officers***
- ◆ ***Parole Revocation In New Zealand***
- ◆ ***Parole Revocation in Wyoming***
- ◆ ***Parole Revocation in Georgia***

ESSENTIAL ELEMENTS

The APAI Executive Committee approved the recommendation of the APAI Standards Committee that the previous edition of the APAI Paroling Authority Standards adopted in 1995 be revised and consolidated into four **Essential Elements** of good parole practices. These “elements” consolidate the necessary attributes of a properly functioning parole decision making process into general statements that have application across a wide spectrum to parole boards and releasing authorities taking into account their differing statutory authority and operational mandates. These essential elements are:

- 1. Structure and Mission:** Releasing Authorities shall adopt written policies and procedures, reviewed and revised regularly, and open to public review. Releasing Authorities shall adopt clearly defined, easy to understand written mission statements and strategic plans, which encompass public safety and rehabilitation. Releasing Authorities shall assure that crime victims are appropriately notified and given the opportunity to provide input.
- 2. Training and Education:** Releasing Authorities shall provide initial and ongoing training for members that include a historical perspective of how and why parole was created within their respective jurisdictions. Members should understand their legal duties and authority, and adhere to a written code of ethics. Training and education should address current suggested practices, as well as enhance and strengthen skills as it relates to individual decision-making.
- 3. Incorporate Evidence Based Practices:** Releasing Authorities shall seek to incorporate practices into their operations that are proven through research to be effective in reducing recidivism. Releasing Authorities shall measure performance, and have transparent, written criteria that are considered in making release, condition setting and modification, revocation, and early termination decisions.
- 4. Engage System and Community Partners:** Releasing Authorities shall strive to enhance opportunities for offender success by collaborating with partners both within and outside of the criminal justice system, supporting the supervision of offenders in their communities, employing informal social controls, and enabling offenders to meaningfully participate in the supervision process.

NATIONAL PAROLE RESOURCE CENTER (NPRC), CONT ON PAGE 4

As a result of APAI’s partnership with the Center for Effective Public Policy and the Urban Institute, and with generous funding from the Bureau of Justice Assistance (BJA), many jurisdictions have continued to receive much needed technical assistance and training from the National Parole Resource Center.

Work continued in four states with Connecticut, Pennsylvania, Georgia and South Dakota designated as “learning sites” by the National Parole Resource Center. This work has resulted in the publication of a document entitled *Vignettes on the National Parole Resource Center Learning Sites*, which preserve the lessons learned by these boards as they went about the business of improving their practices.

NPRC staff delivered short term technical assistance to paroling authorities in Alaska, Iowa, Kansas, Kentucky, Tennessee, Washington and Wyoming.

A two day training event entitled *Strategic Management and the Use of Evidence Based Practice for Paroling Authorities* was conducted in conjunction with the APAI Annual Training Conference in May of 2012. Members from 15 paroling authorities attended.

NPRC provided short term technical assistance to the parole boards in several other states including providing workshops on continuity and collaborating with partners. Training focused on collaboration with other criminal...

NATIONAL PAROLE RESOURCE CENTER (NPRC), CONT FROM PAGE 3

justice stakeholders and engaging those partners in a problem-solving and planning was delivered in Ohio. Training designed to bring new members onto the team, and use that opportunity to consider opportunities for strengthening practice for Boards was delivered in Iowa in March of 2012

Parole Chairs were given an update on the work of the NPRC at the APAI Chairs Meeting in September of 2011. At the May 2012 APAI Annual Training Conference a workshop was conducted entitled *Parole Interview as an Opportunity to Enhance Offender Motivation to Change*. This session was taped and will be used to provide a web based video training resource.

Work continued on a “Self-Assessment Tool Kit” designed to provide a resource to be used by parole boards to review their practices and identify areas of strength, as well as areas in which they might need to seek assistance.

2012 ANNUAL TRAINING CONFERENCE

APAI and the Florida Parole Commission, with generous support from our partners at the National Institute of Corrections, the Bureau of Justice Assistance and the National Parole Resource Center, hosted a successful Annual Training Conference May 19 – 23, 2012 in Orlando, Florida. The event was attended by approximately 139 participants including 33 participants from 18 foreign countries. Participants were given a wealth of information from highly respected practitioners and researchers in the field of parole and corrections.

The session and workshops at this year’s conference included:

- ◆ *Smart Justice and the Impact on Public Safety*
- ◆ *Innovative Practices Forum*
- ◆ *Balancing the Rights of Victims’ and Offenders*
- ◆ *How Do Parole Conditions Relate to Evidence Based Practices?*
- ◆ *Increasing Positive Outcomes for Women Offenders: Applying the Research and Principles of Gender-Responsiveness to Parole Decision Making and Supervision*
- ◆ *The Parole Interview as an Opportunity to Enhance Motivation*
- ◆ *International Perspectives on Parole: Restorative Justice and Parole*
- ◆ *Cognitive - Behavioral Programing Effectiveness in Offender Reentry*
- ◆ *Transforming Vicarious Trauma and Developing Personal Resiliency - Part One: Introduction to Vicarious Trauma*
- ◆ *Emerging Issues in Victims Services: TNT (Trafficking, Notification and Technology)*
- ◆ *Project SUCCESS: Short-term Interventions for Success for Technical Parole Violators*
- ◆ *Time Served and Public Safety: What Have We Gained From the Growth in Length of Stay*
- ◆ *Transforming Vicarious Trauma and Developing Personal Resiliency - Part Two: Developing Personal Resiliency*
- ◆ *Restorative Justice and Forgiveness “It’s About Me – My Right to Heal in My Way”*
- ◆ *Kentucky Penal Code & Substance Abuse Reform Act*
- ◆ *The Neurobiological Impact of Trauma*

2011 APAI CHAIRS MEETING

On September 28 – 29, 2011, with generous support from NIC and the National Parole Resource Center, APAI continued its tradition of hosting a meeting of Parole Board Chairs and Releasing Authority Directors and other with similar duties. This year's meeting was held in Boise, Idaho and hosted by Director Olivia Craven and the Commissioners and staff of the Idaho Commission of Pardons and Parole. This meeting has historically been a time for this group to get together to network and interact to discuss and solve problems that they are facing in their respective jurisdictions. Under guidance of facilitator, Fahy Mullaney, 17 participants, heard from Peggy Burke, Jeralita "Jeri" Costa and Carla Smalls along with members of the APAI Executive Committee and staff on various sessions of interest. This event included a session of facilitated peer interaction on what states are doing to deal with their growing prison populations and the following topics:

- ◆ *Thinking Strategically*
- ◆ *National Parole Resource Center:*
- ◆ *Promoting Optimal Performance*
- ◆ *A review of the APAI Business plan*

Executive Committee

as of June 30, 2012

President

Charles Traughber, Chair, Tennessee Board of Probation and Parole, Nashville TN

Vice President

Jeff Peterson, Executive Officer, Minnesota Department of Corrections, St. Paul MN

Treasurer

David Blumbert, Chair, Maryland Parole Commissions, Baltimore, MD

Secretary

Jeralita Costa, Washington Department of Corrections, Olympia WA

Immediate Past President

Cranston Mitchell, Vice Chairman, United States Parole Commission, Alexandria VA

Chair of the Council for Paroling/Releasing Authorities

Rissie Owens, Chair, Texas Board of Pardons and Parole, Huntsville TX

Regional/Sectional Vice Presidents

International

Renée Collette, Former Executive Vice Chairperson, National Parole Board, Ottawa, Ontario, Canada

Northeastern USA

Edward Reilly, Former Chair, U.S. Parole Commission, Chevy Chase MD

Central USA

Cynthia Mausser, Chair, Ohio Parole Board, Columbus, OH

Southern USA

Caroline Mudd, Board Member, Kentucky Parole Board, Frankfort KY

Western USA

Vacant

FISCAL YEAR FACTS AND FIGURES

Membership, as of June 30, 2012

Individual Members	62
Organizational Members	400
Total Members	462
US Agencies Represented	50
International Jurisdictions	21
Total Jurisdictions	71

International Agencies/Individuals

Adult Parole Board of Victoria Australia	Bermuda Government
Bermuda Parole Board	British Virgin Islands
Carleton University	Cayman Islands
Government of Montserrat	Hong Kong Correctional Service Department
International Prison Chaplains Association	Malaysia Prison Services
National Parole Board of Zambia	New South Wales State Parole Authority
New Zealand Parole Board	Ontario (Canada) Parole Board
Parole Commissioners of Northern Ireland	Parole Board of Canada
Parole Board of Anguilla	South Africa Correctional Services
Parole Board of Turks and Caicos Islands	Uganda Prison Services

2012 Award Recipients

<i>Ben Bear Award</i> Judge Sir David Carruthers New Zealand Parole Board	<i>Vincent O'Leary Award</i> Charles Traughber Tennessee Board of Parole
<i>Community Service Award</i> Regina B. Shearn, Ph.D. Florida International University Corrections Transition Program	<i>President's Award</i> Kermit Humphries National Institute of Corrections Edward Reilly United State Parole Commission, Retired

FY 2011-2012 Financial Report

Income

Membership	\$15,405.00
Grants	\$20,000.00
Programs (conferences/meetings)	\$58,275.50
Miscellaneous/Interest	\$126.59
<u>Total Income</u>	\$93,807.09

Expenses

Programs	\$43,485.61*
Support Services (CAO & Admin. Staff)	\$51,111.00
General Administration	\$5,320.12
<u>Total Expenses</u>	\$99,916.73

Income/Expenses

<u>Income/Expenses</u>	<u>\$-6,109.64</u>
Assets—Beginning of Fiscal Year	\$62,418.34*
Assets—End of Fiscal Year	\$56,308.70**
Difference	\$6,109.64

*adjusted to account for outstanding 2011 conference expenses
** adjusted to account for prepaid 2013 conference expenses

Annual Conference Financial Report

Income

Registration Fees	\$48,800.00
Exhibitors Fees	\$6,438.00
Silent Auction	\$1,192.50
Donations	\$1,000.00
<u>Total Income</u>	\$57,430.00

Expenses

Food & Beverage	\$29,090.10
Staff Travel	\$3,251.41
Printing	\$1,224.13
Entertainment	\$1,216.00
Awards	\$540.00
Supplies	\$1,200.64
Audio Visual	\$3,758.46
<u>Total Expenses</u>	\$40,280.74

Net Proceeds

\$17,149.26

2011 Annual Training Conference

Participants	138	Speakers/Presenters	23
Exhibitors	8	Hours of Training Available	21.5

Acknowledgements

Conference Supporters

Bridges of America
 Bureau of Justice Assistance
 Center for Effective Public Policy
 Charles Traughber
 Correctional Healthcare Companies
 Correctional Management Institute of Texas
 Florida Council on Crime and Delinquency
 Florida Department of Juvenile Justice
 Florida Parole Commission
 Florida Department of Corrections
 National Institute of Corrections
 National Parole Resource Center
 Orange County Corrections
 Peyton Tuthill Foundation
 Pew Center on the States
 Sheraton Sand Key Resort, Clearwater Beach

Exhibitors and other Financial Support

3M Electronic Monitoring
 Alcohol Countermeasures Systems, Inc
 AnyTrax
 Bridges of America
 Correctional Healthcare Companies
 Satellite Tracking of People
 Smart Start Technologies
 Touch Pay

Current Executive Committee

President

Jeff Peterson
 Minnesota

Vice President

Rissie Owens
 Texas

Treasurer

David Blumberg
 Maryland

Secretary

Jeralita Costa
 Washington

Past President

Charles Traughber
 Tennessee

Chief Administrative Officer

Keith Hardison
 Kentucky

Regional Vice Presidents

International Section

Renée Collette
 Canada

Northeastern USA

Steve Andraschko
 U.S. Army

Central USA

Cynthia Mausser
 Ohio

Southern USA

Caroline Mudd
 Kentucky

Western USA

Connie Bisbee
 Nevada



ASSOCIATION OF PAROLING AUTHORITIES INTERNATIONAL

Correctional Management Institute of Texas

George J. Beto Criminal Justice Center

Sam Houston State University

Huntsville, TX 77341-2296

Phone: 877-318-APAI

Fax: 936-294-1671

E-mail: info@apaintl.org

www.apaintl.org